

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
1.902.16

In Re Application Of:

R. Terry K. Baker, et al.

#4

Serial No.

Not Yet Assigned

Filing Date

December 11, 2001

Examiner

Not Yet Assigned

Group Art Unit

Not Yet Assigned

Title:

**PREPARATION OF MULTIFACETED GRAPHITIC NANOTUBES**

J1073 U.S. PTO  
10/015353  
12/11/01

Address to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);

**OR**

☐ the fee set forth in 37 CFR 1.17(p).

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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☐ The Assistant Commissioner is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below. A duplicate copy of this sheet is enclosed.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
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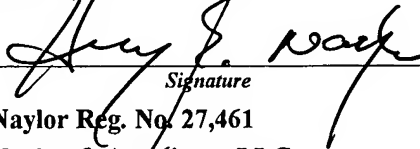
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Signature

Henry E. Naylor Reg. No. 27,461  
Henry E. Naylor & Associates, LLC  
11750A S. Harrell's Ferry Rd  
Baton Rouge, LA 70816

Henry E. Naylor 225-292-2700 225-292-2706 (Fax)

**NO FEE IS DUE**

CC:

Dated: December 11, 2001